

GAU 2765

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TRANSMITTAL LETTER			Case No. 8406/4
Serial No. 08/868,200	Filing Date June 3, 1997	Examiner J. Patel	Group Art Unit 2765
Inventor(s) Howard B. Garber			
Title of Invention SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER			

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MAR 17 1999
Transmittal is Amendment.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.

Petition for a ____ month extension of time.

No additional fee is required.

The fee has been calculated as shown below:

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Group 2700

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$11 =			x \$22 =	
x \$41 =			x \$82 =	
+ \$135 =			+ \$270 =	
total add'l fee	\$		total add'l fee	\$

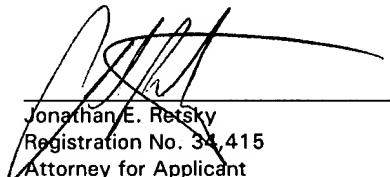
Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ _____. A duplicate copy of this sheet is enclosed.

A check in the amount of \$ ____ to cover the filing fee is enclosed.

The Assistant Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Jonathan E. Retsky
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Attorney for Applicant

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 10, 1999.

Date: 3/10/99Signature: 